

DEPARTMENT OF TAXATION AND FINANCE

MEMORANDUM

m Rock

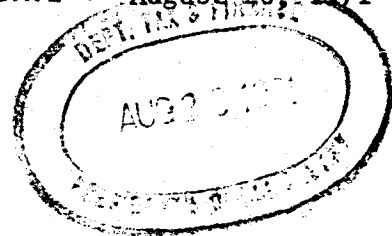
TO : Commissioner Norman Gallman

OFFICE: Albany, N. Y.

FROM : John F. Donovan

DATE : August 20, 1971

SUBJECT: A. G. Edwards & Sons, Inc.
 Employer ID #43-0895447
 Notice and Demand AW 237929

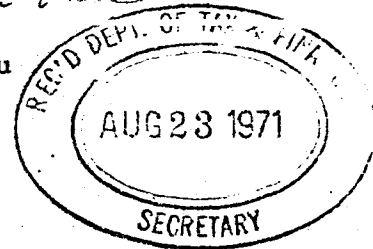


The above employer is requesting cancellation of assessed penalties of \$4,299.60 and unassessed penalties of \$5,120.25 for withholding tax payment delinquencies beginning in October 1969 and continuing through March of 1971.

The taxpayer states that various personnel changes within the organization caused a misunderstanding as to who was responsible for filing New York State employer withholding returns during this period. They further indicated that on March 26, 1971 procedures were implemented to prevent this and our records show that the taxpayer did commence proper semi-monthly filing at that time and is current at the present time.

Since all taxes and interest are paid to date I recommend that the Tax Commission approve the request for waiver of the penalty charges.

J. F. Donovan
 Director
 Income Tax Bureau



Approved
M. K. Krum
8/27/71
Approved
AB Mundy
8/30/71
Approved
Gallman
9/15/71